

910



Corp. Office: 4th Floor, 27B, Camac Street, Kolkata 700016
Tel.: +91 33 4017 5200. CIN No. L67120WB1990PLC048496
E-mail : investorcell@nakamichi.co.in www.nakamichi.co.in
Regd. Office: Block - A, 1st Floor, "Mercantile Building",
9/12, Lal Bazar Street, Kolkata 700001
Tel.: +91 33 2210 4841 / 4842

Date: 12.10.2019
To
The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata- 700001

Dear Sir/ Madam,

Sub: Compliance Report on Corporate Governance for the quarter ended 30th September, 2019

We enclose herewith the Compliance report on Corporate Governance for the quarter ended 30th September, 2019 as per the requirements of Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Kindly acknowledge the receipt thereof.

Thanking You
Yours faithfully
For Nakamichi Securities Limited

Snigdha Tibrewala

Snigdha Tibrewala
Whole-time Director
DIN: 02801711





NAKAMICHI
SECURITIES LIMITED

Corp. Office: 4th Floor, 27B, Camac Street, Kolkata 700016
Tel.: +91 33 4017 5200. CIN No. L67120WB1990PLC048496
E-mail : investorcell@nakamichi.co.in www.nakamichi.co.in

Regd. Office: Block - A, 1st Floor, "Mercantile Building",
9/12, Lal Bazar Street, Kolkata 700001
Tel.: +91 33 2210 4841 / 4842

Quarterly Report on Corporate Governance

1. Name of Listed Entity : NAKAMICHI SECURITIES LIMITED

2. Quarter Ending: 30TH SEPTEMBER, 2019

I. Composition of Board of Directors

Title (Mr. / Ms)	Name of the Director	PAN ^s & DIN	Category (Chairperson/Executive/Non-Executive/Independent/Nominee) &	Date of Appointment in the current term /cessation	Tenure*	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mrs.	Sarita Tibrewala	AFGPP2890C/ 00629538	Chairperson	01.04.2017	-	1	1	Nil
Ms.	Snigdha Tibrewala	AIDPT4123G/ 02801711	Executive Director	24.05.2018	-	1	1	Nil
Mr.	Chandidas Ghosh	ADYPG1022D/ 03014825	Independent Director	Appointm ent 05.09.2019	5 Years	1	1	1
Mr.	Jugal Kishore kyal	AEPPK8486B/	Independent Director	Appointm ent 05.09.2019	5 Years	1	1	1
Mr.	Sanjay Kumar Kothari	AFYPK9869A/ 02751509	Non-Executive Director	Appointm ent 11.08.2016	-	1	Nil	Nil

^s PAN number of any director would not be displayed on the website of Stock Exchange & Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.

* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors' of the listed entity in continuity without any cooling off period.



Composition of Committees

Name of Committee	Name of Committee Members	Category (Chairperson/Executive/Non-Executive/independent/Nominee) \$
1. Audit Committee	1. Mr. Jugal Kishore Kyal	Independent Director- Chairman
	2. Mr. Chandidas Ghosh	Independent Director- Member
	3. Ms. Snigdha Tibrewala	Executive Director- Member
2. Nomination & Remuneration Committee	1. Mr. Chandidas Ghosh	Independent Director- Chairman
	2. Ms. Snigdha Tibrewala	Executive Director- Member
	3. Mr. Sanjay Kumar Kothari	Non-Executive Director- Member
3. Stakeholders Relationship Committee	1. Mr. Chandidas Ghosh	Independent Director- Chairman
	2. Mr. Jugal Kishore Kyal	Independent Director- Member
	3. Mrs. Sarita Tibrewala	Chairperson- Member

\$Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

II. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of the Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive meetings (in number of days)
18.08.2019	08.08.2019	82

V. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether Requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
Audit Committee 18.08.2019	Yes- All member present	18.05.2019	82

This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional



V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)refer note below
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus	Yes

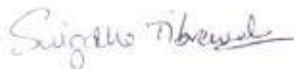
Note

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee
 - b. Nomination & remuneration committee
 - c. Stakeholders relationship committee
 - d. Risk management committee (applicable to the top 100 listed entities)
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

Name & Designation



Snigdha Tibrewala

Whole-time Director & CFO

NC

Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.

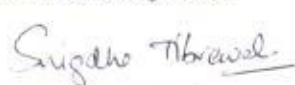


NAKAMICHI
SECURITIES LIMITED

Corp. Office: 4th Floor, 27B, Camac Street, Kolkata 700016
Tel.: +91 33 4017 5200. CIN No. L67120WB1990PLC048496
E-mail : investorcell@nakamichi.co.in www.nakamichi.co.in

Regd. Office: Block - A, 1st Floor, "Mercantile Building",
9/12, Lal Bazar Street, Kolkata 700001
Tel.: +91 33 2210 4841 / 4842

Annexure-II

Format to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year		
I Affirmations		
Broad heading	Number	Compliance status
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes
Note 1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. 2. If status is "No" details of non-compliance may be given here. 3. If the Listed Entity would like to provide any other information the same may be indicated here.		
Name & Designation  Snigdha Tibrewala Whole-time Director & CFO 