

	CLIENT I	NAME	
KYC NO.	TRADING CLIENT CODE	A/C. OPENING DATE	CLIENT DP ID

NAKAMICHI SECU	RITIES LIMITED	DP ID-IN304004	CIN No. : L67120WB1990PLC048496			
PLEASE READ "NSL" AS	PLEASE READ "NSL" AS "NAKAMICHI SECURITIES LIMITED" WHERE EVER IT APPEARS.					
REGISTERED OFFICE BLOCK A, 1ST FL., MERCANTILE BLDG., 9/12 LAL BAZAR STREET, KOLKATA 700001. TEL: +91 33 2210 48						
CORRESPONDENCE & CORPORATE OFFICE	•	C STREET, KOLKATA 700016. TEL.: 1. EMAIL: investorcell@nakamichi.co				
COMPLIANCE OFFICER NAME, TEL & EMAIL SANJEET KR. SINGH +91 33 4017 5200, compliance@nakamichi						
DIRECTOR NAME, TEL 8	& EMAIL	SARITA TIBREWALA. +91 33 4017	7 5200. sarita@nakamichi.co.in			

CLEARING MEMBER

AXIS BANK LIMITED, Capital Market Division, Jeevan Prakash Road, Sir P M Road, Fort, Mumbai – 400001.

Tel.: 022-4086 7521 - 25. Email ID - vinay.tejuja@axisbank.com./

SEBI REGISTRATION NUMBER: INZO00197136

SEBI REGISTRATION NUMBERS & DATE								
NATIONAL STOCK EXCHANGE OF INDIA LIMITED	CAPITAL MARKET SEGMENT	INB230856533	12.01.1996					
NATIONAL STOCK EXCHANGE OF INDIA LIMITED	FUTURES & OPTIONS SEGMENT	INF230856533	16.02.2005					
NATIONAL STOCK EXCHANGE OF INDIA LIMITED	CURRENCY DERIVATIVES SEGMENT	INE230856533	23.10.2009					
BSE LTD.	CAPITAL MARKET SEGMENT	INB010856533	01.10.2010					
BSE LTD.	FUTURES & OPTIONS SEGMENT	INF010856533	01.10.2010					
BSE LTD.	CURRENCY DERIVATIVES SEGMENT	INB010856533	10.03.2014					
NATIONAL SECURITIES DEPOSITORY LTD.		IN-DP-NSDL-348-211	16.11.2011					

Note: As per sebi circular ref. SEBI/HO/MIRSD/MIRSD1/CIR/P/2017/104, our single registration no. is INZ000197136, dated 03.09.2018. NSDL-DP Certificate No. IN-DP-315-2017, renewed on 01.03.2017.

INVESTORS GRIEVANCES

For any grievance/dispute, please contact NAKAMICHI SECURITIES LIMITED at the above address or email us at our Investor Grievance Email ID - investorcell@nakamichi.co.in and call us at +91 33 4017 5200.

In case not satisfied with the response, please contact the concerned exchange(s)

NATIONAL STOCK EXCHANGE OF INDIA LIMITED	ignse@nse.co.in	1800 22 0058			
BSE LTD.	is@bseindia.com	022-2272 8097			
SECURITIES AND EXCHANGE BOARD OF INDIA	investorcomplaints@sebi.gov.in	022-2644-9000			

You can also lodge your grievances with SEBI https://scores.gov.in. For any queries, feedback or assistance, please contact SEBI Office on toll free help line at 18002667575.

Disclosure of proprietory Trading pursuance to SEBI Circular No.: SEBI/MRD/SE/Cir-42/2003 dated 19th Nov., 2003, NSE Circular No.: NSE/INVG/PRE/2003/16 Dated 25th Nov., 2003 and BSE Notice No.: 20031125-7 dated 25th Nov., 2003 We Nakamichi Securities Ltd. are also engaged in proprietory trading apart from Client based business on all the segments at all the Exchanges.

NAKAMICHI SECURITIES LIMITED

INDEX OF DOCUMENTS

TRADING ACCOUNT OPENING FORM

	TRADING ACCOUNT OPENING FORM	
SL. NO.	NAME OF THE DOCUMENT AND ITS BRIEF SIGNIFICANCE	AGE NOS.
MAN	DATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES - for Trading Ac	count
1.	Account Opening Form	
Part I	A KYC form - Document captures the basic information about the constituent	A1-A2
	B. Instruction check list of KYC form	B2
Part II	C. Document captures the additional information about the constituent relevant to trading account	A3-A5
	D. Instruction/check list of additional information form	В3
2.	Tariff sheet	A6
	Document detailing the rate/amount of brokerage and other charges levied on the	
	client for trading on the stock exchange(s)	
on excha Uniform	tandard Mandatory Documents viz. Rights & obligations of Stock Broker, Sub-broker and client for trading anges (including additional right and obligations in case of internet/wireless technology based trading), Risk Disclosure Documents, and Guidance Note detailing Do's and Don'ts for trading, are available in /electronic mode as per your choice marked in on Page A4	B4-B11
3.	Policies and Procedures Document describing significant policies and procedures of the stock broker	B13-14
	VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER	
4.	Authority letter for Running Account of Funds & Securities	C1
5.	Authority to debit Demat charges and Acknowledgment Receipt	C2
6.	Mandate to issue documents in Electronic Format	C3
7.	Consent Letter for E-mail and mobile alert facility	C4
	OTHERS	
8.	FATCA / CRS Declaration Forms	C5-C6
9.	Information on prevention of money laundering act, 2002 (PMLA)	B12
10.	Declaration by Client in relation to PMLA, 2002	C7
	DEMAT ACCOUNT OPENING FORM	
1.	Account Opening Form.	D1-D4
2.	Option to receive Annual Reports, AGM notice and other communication from issuer in physical form	D4
3.	Power of Attorney	D5-D6
4.	Charge Structure	D7
5.	Declaration for same Mobile No. / E-mail ID for different clients.	D8
6.	Right & Obligation	D9-D10
7.	Acknowledgment for the receipt of documents	D11

Note: The formats for Board Resolution and other declaration are available on our website: www.nakamichi.co.in

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual

Important Instructions:

- A) Fields marked with '*' are mandatory fields.
- B) Please fill the form in English and in BLOCK letters.
- C) Please fill the date in DD-MM-YYYY format.
- D) Please read section wise detailed guidelines / instructions at the end.
- E) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- F) List of two character ISO 3166 country codes is available at the end.
- G) KYC number of applicant is mandatory for update application.
- H) For particular section update, please tick (ω) in the box available before the section number and strike off the sections not required to be updated.



For office use only	Application Type*	Update	
(To be filled by financial instit		(Mandatory fo.	r KYC update request)
	Account Type*		
☐ 1. PERSONAL DETA	ILS (Please refer instruction A at the end)		
_	Prefix First Name	Middle Name	Last Name
☐ Name* (Same as ID proo	0		
Maiden Name (If any*)			
Father / Spouse Name*			
Mother Name*			
Date of Birth*	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$		РНОТО
Gender*	☐ M- Male	☐ F- Female ☐ T-Transgender	
Marital Status*	☐ Married	☐ Unmarried ☐ Others	
Citizenship*	☐ IN- Indian	Others (ISO 3166 Country Code)	
Residential Status*	☐ Resident Individual☐ Foreign National	☐ Non Resident Indian☐ Person of Indian Origin	
Occupation Type*	☐ S-Service ☐ Private Sector	☐ Public Sector ☐ Government Sector	
	O-Others Professional	☐ Self Employed ☐ Retired ☐ Housewife	Student
	□ B-Business□ X- Not Categorised		Signature / Thumb Impression
2. TICK IF APPLICA	BLE RESIDENCE FOR TAX PURP	OSES IN JURISDICTION(S) OUTSIDE INDIA (F	Please refer instruction B at the end)
ADDITIONAL DETAILS RI	EQUIRED* (Mandatory only if section 2 is t	icked)	
ISO 3166 Country Code o	f Jurisdiction of Residence*		
Tax Identification Number	or equivalent (If issued by jurisdiction)*		
Place / City of Birth*		ISO 3166 Country Code of Birth*	
3. PROOF OF IDENT	ITY (Pol)* (Please refer instruction C at the	ne end)	
·	ne following Proof of Identity[PoI] needs to b	e submitted)	
A- Passport Number		Passport Expiry Date	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$
□ B- Voter ID Card			
C- PAN Card			
☐ D- Driving Licence		Driving Licence Expiry Date	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$
E- UID (Aadhaar)			
☐ F- NREGA Job Card			
Z- Others (any documer	nt notified by the central government)	Identification Number	
S- Simplified Measure	s Account - Document Type code	Identification Number	
4. PROOF OF ADDE	RESS (PoA)*		
4.1 CURRENT / PERMA	NENT / OVERSEAS ADDRESS DETAILS ((Please see instruction D at the end)	
(Certified copy of any one of to	ne following Proof of Address [PoA] needs to	b be submitted)	
Address Type*	esidential / Business	ential Business Regist	ered Office
	· = ·	Licence UID (Aadhaar)	
	oter Identity Card	A Job Card Others	ase specify
Address		,po oodo	
Line 1*			
Line 2			
Line 3		City / Town / Vill	
District*	Pin / Post Code*	State / U.T Code*	ISO 3166 Country Code*

4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please see instruct	ion E at the end)
☐ Same as Current / Permanent / Overseas Address details (In case of multiple of	correspondence / local addresses, please fill 'Annexure A1')
Line 1*	
Line 2	
Line 3	City / Town / Village*
District* Pin / Post Code*	State / U.T Code* ISO 3166 Country Code*
$\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ $	IDENT OUTSIDE INDIA FOR TAX PURPOSES* (Applicable if section 2 is ticked)
☐ Same as Current / Permanent / Overseas Address details ☐	Same as Correspondence / Local Address details
Line 1*	
Line 2	
Line 3	City / Town / Village*
State*	ZIP / Post Code* ISO 3166 Country Code*
☐ 5. CONTACT DETAILS	
	M. W. Company
FAX	
6. DETAILS OF RELATED PERSON (In case of additional related persons, pl	ease fill 'Annexure B1') (please refer instruction G at the end)
☐ Addition of Related Person ☐ Deletion of Related Person KYC	Number of Related Person (if available*) Guardian of Minor
Related Person Type*	☐ Authorized Representative
Prefix First Name	Middle Name Last Name
Name*	
(If KYC number and name are provided, below details of	section 6 are optional) Let. (Off)
PROOF OF IDENTITY [Pol] OF RELATED PERSON* (Please see instruction (H) at the	e end)
☐ A- Passport Number	Passport Expiry Date DDD-MM-YYYY
☐ B- Voter ID Card	
C- PAN Card	
D- Driving Licence	Driving Licence Expiry Date DD MM -YYYY
☐ E- UID (Aadhaar)	Driving Licence Expiry Date [D]D] = [M M] = [T T T T
F- NREGA Job Card	
Z- Others (any document notified by the central government)	
S- Simplified Measures Account - Document Type code	Identification Number
☐ 7. REMARKS (If any)(All communications will be sent on provided Mobile no. / Em	ail-ID) (Please refer instruction F at the end)
8. APPLICANT DECLARATION	
 I hereby declare that the details furnished above are true and correct to the best of my knowledge and belie therein, immediately. In case any of the above information is found to be false or untrue or misleading or misre 	, , ,
for it.	[Signature / Thumb Impression]
I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above register	red number/email address.
Date : D D - M M - Y Y Y Y Place :	Signature / Thumb Impression of Applicant
9. ATTESTATION / FOR OFFICE USE ONLY	
Documents received	
INSTITUTION DETAILS & KYC VERIFICATION CARRIED OUT BY	☐ IN-PERSON VERIFICATION (IPV)
Name - Nakamichi Securities Ltd.	DOCUMENTS VERIFIED WITH ORIGINALS
Date DDD-MM-YYYY	CLIENT INTERVIEWED BY
Emp. Name	D. (100
Emp. Code	Date / /20
Emp. Designation	Employee/Sub-Broker/AP Details :
Emp. Branch	Name :
	Code:
[Employee Cianahye]	Designation :
[Employee Signature]	
	Signature :

PART II - TRADING ACCOUNT RELATED DETAILS

BANK ACCOUNT DETAILS			
	1st ACCOUNT	2nd ACCOUNT	3rd ACCOUNT
BANK NAME			
BRANCH NAME & ADDRESS			
BANK ACCOUNT NO.			
ACCOUNT TYPE (Saving / Current / Others - In case of NRI /NRE/NRO)			
MICR NO.			
IFSC CODE			
DEPOSITORY ACCOUNT D	ETAILS		
DP NAME			
DEPOSITORY NAME (CDSL / NSDL)			
DP ID			
BENEFICIARY ID (BO ID)			
BENEFICIARY NAME 1			

TRADING PREFERENCES

BENEFICIARY NAME 2
BENEFICIARY NAME 3

*PLEASE SIGN IN THE RELEVANT SEGMENT AND BOXED WHERE YOU WISH TO TRADE. THE SEGMENT NOT CHOSEN SHOULD BE STRUCK OFF BY THE CLIENT.

EXCHANGES	SEGMENT								
EXCHANGES	CASH	F&0	CURRENCY						
NSE	1								
BSE	1	✓	√						

[#] If, in future, the client wants to trade on any new segment / new exchange, separate authorisation / letter should be taken from the client by the stock broker.

MANDATORY

PAST ACTIONS				
Details of any action/proceedings in or its Partners/promoters/whole tim	· · · · · · · · · · · · · · · · · · ·	_	•	
DEALINGS THROUGH SUB-BROI				
If client is dealing through the s	ub-broker, provide the follow	ing details:		
SUB-BROKER'S NAME			SEBI REGN. NO.	
REGISTERED OFFICE ADDRESS		T		
PHONE		FAX		
EMAIL		WEBSITE		
WHETHER DEALING WITH ANY (In case dealing with multiple stock				
NAME OF STOCK BROKER		NAME OF SUB-	BROKER, IF ANY	
CLIENT CODE		EXCHANGE		
DETAILS OF DISPUTES / DUES PE	NDING FROM / TO SUCH STOCK	(BROKER/SUB- E	ROKER	
ADDITIONAL DETAILS				
Whether you wish to receive Physic Specify your Email id, if applicable:		Contract Note (EC	N) <i>(please specify) :</i>	
Whether you wish to avail of the fa	acility of internet trading/wireles	s technology <i>(plea</i>	ase specify) :	(YES/NO)
Number of years of Investment/Tra	ding Experience			
Any change in the email id shall be conchange of email id may be made throu			•	ts, the request for
Whether you wish to receive the stan and guidance note. Physically or elect		ations, Risk Disclo)	sure Document (RDD), (do's and D	on'ts for trading)
Mode of Receiving statement of Acc		al Form 🗆 Electr	onic Form.	
Any other information				
INTRODUCER DETAILS (OPTION	AL)			
NAME OF THE INTRODUCER (SURNAME · NAME · MIDDLE NAME)				
STATUS OF THE INTRODUCER	Sub-broker	Remisier	Authorized Person	Employee
OTATOO OF THE INTRODUCEN	Existing Client	Others, please	specify	
ADDRESS OF THE INTRODUCER				
MOBILE / PHONE OF THE INTRODUCER			SIGNATURE OF THE INT	RODUCER

MANDATORY

NOMINATION D	ETAILS						
☐ I/WE WISH	TO NOMINATI		I/WE DO NOT WISH TO	NOMINATE			PHOTOGRAPH
NAME OF THE NOM	INEE						OF THE NOMINEE
RELATIONSHIP WIT	H THE NOMINEE						Please affix your recent
PAN OF NOMINEE							passport size photograph
DATE OF BIRTH OF	NOMINEE						and sign
ADDRESS OF THE N	IOMINEE						across it
PHONE NO. OF THE	NOMINEE					SIGNATU	JRE OF NOMINEE
If Nominee is a	minor, details	of Guard	lian				PHOTOGRAPH
NAME OF GUARDIA	N						OF THE GUARDIAN
ADDRESS OF GUAR				✓ SIGNATU	RE OF GUAF	RDIAN	Please affix your recent passport size photograph and sign across it
				•			
		Nam	e(s) of holder(s)			Sign	ature(s) of holder(s)
Sole/First holder/l		/Mrs.))				✓	
Second Holder (M	r./Mrs.)					√	
Third Holder (Mr./	Mrs.)					1	
WITNESSES (Or	nly applicable in	case the	account holder has made	nomination)			
NAME				NAME			
SIGNATURE	1			SIGNATURE	1		
ADDRESS				ADDRESS			
OTHER DETAILS	;						
GROSS ANNUA Below ₹ 1 La		AILS : IN	NCOME RANGE PER ANN ocs		ny One) 10 - 25 Lacs		More than ₹ 25 Lacs
OCCUPATION (Please tick any c	no)		or [] Public Sector Housewife [] Studen] Agriculturist Specify)
PLEASE TICK, IF	APPLICABLE :	POLI	TICALLY EXPOSED PERSO	ON (PEP) REI	LATED TO A F	OLITICALI	LY EXPOSED PERSON (PEP)
ANY OTHER INFO	ORMATION						
							MANDATORY

		TARIFF SI	HEET							MANDATORY
	%	MINIMUM (PAISA)	MINIMUM	AMOUI	NT	RO	OUND	ED	OFF	FLAT/PER LOT/PER MONTH
Delivery										
Sq. Off										
Futures										
Options										
Currency Futures										
Currency Options										
(The above rates are exclusive of STT, GST, Stamp Duty, SEBI Fee, Transaction Charges & other charges, if any, which will be separately charged as per applicable rates from time to time.) DECLARATION 1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I/am/we are aware that I/we may be held liable for it. 2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet. 3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure										
standard set of docume SIGNATURE OF THE CLIENT		SIGNATORY (ies)	D D N	d M	Ι	Y Y	Y		y.	PLACE
		FOR OFFICE U	SE ONLY							
Client ID allotted to the C	lient									
		NTS VERIFIED DRIGINALS	INTER'	LIENT	D B	Υ		VE		IN-PERSON ICATION DONE BY
Name of the Employee										
Designation & Employee (Code									
Date & Place										
Signature of the Employee						_				
We undertake that we have m given / sent him a copy of 'Rig contents therein. We have giv sheet and all the non-mandat Obligations' and Risk Disclosu	hts and Obligations' en/sent him a copy of tory documents woul	document(s), Risk Disc all the KYC documents d be duly intimated to	losure Docu . We underta the clients	ment (I ake tha . We al	RDD t any so u), Gui , chai inder	dance nge in take t	No the hat	te an 'Poli any	nd made him aware of th cy and Procedures', tarif change in the 'Right an
NAME OF THE AUTHORISE	D SIGNATORY									
SIGNATURE OF THE AUTH	ORISED SIGNATORY	,	_	D D	M	M	Y Y	Υ	Υ	SEAL / STAMP OF The intermediary
			'					•		MANDATORY

POLICIES & PROCEDURES

Policies & Procedures

This document outlines various policies and procedures framed and followed by Nakamichi Securities Limited (NSL) with respect to its dealing with its Clients as a stock broker on various Exchanges viz NSE & BSE.

The policy and procedures as stated hereinbelow may be changed / updated / revised from time to time at the sole discretion of NSL, depending upon the regulatory changes, risk management framework, other market conditions etc. and the same will be available on its website.

a) Refusal of Orders for Penny Stocks:

In view of the risk associated in dealing with Penny Stocks and/or Illiquid stock/Contracts/Options, NSL would generally advice its clients to desist from trading in them. Further, SEBI, Exchanges or NSL may issue circular or guidelines necessitating exercising additional due diligence by the Clients for dealings in such securities.

Penny Stocks or Illiqued Stocks are those securities which may fall in any of the categories mentioned below:

- Securities traded at less than its face value on any of the Exchanges.
- Securities appearing in the list of illiqued securities issued by the Exchanges.
- Securities forming part of Trade to Trade Settlement or 'Z' group.
- Scrips on which average daily volume collectively on all the Exchange in previous 7 days is less than 25,000 shares or Rs. 25 lacs (whichever is higher)
- Any other securities / contracts / options which might be considered as illiquid based on NSL's discretion that the securities are volatile, subject to market manipulation or have concentration risk at the client level or at the security level or for any other reason.

NSL at its discretion can accept, refuse or partially / restrictively accept any buy or sell order for execution from the Client in respect of such securities. NSL may demand additional margin / insist upto 100% advance pay in of funds / securities from the Client before accepting order of such stocks. NSL may cancel orders in such securities received by the Client before execution or partial execution or place any other kind of restrictions on the trade on such securities without assigning any reasons thereof to the client. NSL shall not be responsible for any consequential opportunity loss or financial loss that a Client may incur from delay or non-execution of orders of such securities. The trades done in such stocks will be monitored and if the Client is found to have indulged in trading of such securities in large quantitates (as a % to total market volume), NSL may demand appropriate declarations from the Client,

b) Setting up Client's Exposure Limits:

- Exposure limit of each Client is set as per Exchange's regulations, based on the following: Clear ledger balance of the Client + margin in the form of funds + value of collateral computed on the latest available closing price on NSE after appropriate haircut depending on the risk profile of the Client + Bank Fixed Deposits + Bank Guarantee.
- On a case to case basis, NSL at its discretion may allow lower/higher exposure limits considering Client's profile, market conditions, quality of collateral and internal risk management policy.
- In case of Cash Segment, NSL may at its discretion allow exposure up to a certain limit without insisting for any credit balance and/or margin.
- NSL reserves the right to withdraw/reduce exposure granted to the Client at any point of time and the Client will have to abide by the same

c) Applicable Brokerage Rate:

NSL charges brokerage to the Client depending upon the Client's profile,

subject to limits* specified by the Exchange/SEBI from time to time

*As on 1st April 2010, a broker can charge brokerage at a maximum rate of 2.5% of contract value or 25 paise per share (whichever is higher). In case of option contracts, brokerage is to be charged on premium amount and at a maximum rate of 2.5% or Rs. 100 per lot whichever is higher.

The brokerage will be exclusive of the following, except in case where it is agreed otherwise

- GST
- Stamp Duty
- Securities Transaction Tax
- SEBI/Exchange/Clearing Member charges.
- Any other charges towards customized or specialized services.
- Statutory charges payable to exchange/SEBI/Government authorities etc.

Brokerage rates may be charged as agreed between the Client and NSL either in writing or orally from time to time.

Clients are advised to check the brokerage in the contract note and inform NSL in writing if brokerage is not charged as per the agreed rate. In case the client does not raise any objection within 7 days of execution of trade, brokerage charged in the contract note will be considered as per the consent of the Client.

d) Imposition of Penalty / Delayed Payment Charges by either party, specifying the rate and period (This must not result in funding by the broker in contravention of the applicable laws):

Delayed Payment Charges:

It is the responsibility of the client to ascertain in advance the margin requirements and maintain required margins (including but not limited to initial margin, mark to market and/or other margins), ensure payment of pay-in-obligation and to see that other dues payable to NSL are met within the time period as stipulated by the Exchanges / NSL, otherwise Client will be liable to pay the delayed payment charges not exceeding 2% per month for the delayed period.

Clients are advised to make timely payment to avoid delayed payment charges. Delayed payment charges are penal in nature and the same should not be construed as funding arrangement. The Client cannot demand continuation of service on a permanent basis citing levy of charges

NSL shall not pay any interest on credit balances/margin in any form either funds or securities, lying in the Client's account.

Penalty:

Further where NSL has to pay fine or bear any punishment from any authority in connection with/as a consequence of any of the order / traders / deals / actions of the Client, the same shall be none by the Client. Few examples are as follows:

- Auction of securities pursuant to short deliveries by the Client.
- Non adherence to Client level exposure limits in Cash and F&O segment.
- Dealing in banned securities.
- e) The right to sell Client's securities or close Clients' positions, without giving notice to the Client, on account of non-payment of Client's dues. (This shall be limited to the extent of settlement/margin obligation):

 NSL shall be entitled to liquidate/close-out all or any of the Client's Open/outstanding positions, sell the Client's securities available with NSL and / or held in Client's demat account for which power of attorney is granted in favour of NSL at any time to recovery its dues without giving any notice to the Client in the following circumstance.
 - * If the Client fails to pay any margin/settlement obligation within the stipulated time frame

POLICIES & PROCEDURES

- In the event that the market value of the Client's securities, lying as margin, or bought by the Client for which payment is not made by the client, for any reason falls or is anticipated to fall, or circumstances arise or are likely to arise which may in the sole opinion of NSL jeopardize its interest and expose it or likely to expose it to any financial loss or damage.
- f) Shortages in obligations arising out of internal netting of trades:

Internal shortage means one Client has failed to give the delivery of the securities sold within pay-in time and there is no market obligation in the particular stock and which has resulted into short delivery to other Client(s) of NSL. In such cases seller is given the option to deliver the shares in the auction settlement (T+3) of the original settlement.

If any of the client fails to deliver the shares even in auction settlement (T + 3) the account of the seller is debited with an amount equivalent to the closing rate of script on the auction date (T + 3) plus a markup of 3% and correspondingly a credit of the same amount is given to the Client who had purchased the shares but did not receive the delivery.

The Client giving short delivery is debited with a penalty of 1%.

- g) Conditions/circumstances under which a Client may not be allowed to take further position of NSL may close/liquidate a part of or whole of the existing position of a Client.
- If the Client fails to meet his pay-in obligation/settlement obligations within the time frame.
- If the ledger of the Client shows continuous debit balance.
- Where the cheque issued by the Client is dishonored.
- If NSL's limit of taking exposure in that particular script comes to an
- If the Client fails to provide and maintain the requisite margin.
- If the Exchange is not allowing any further position in that script.
- Where the Client deals in illiquid or penny stock/contracts/options.
- Where Client's trading appears to be suspicious / synchronized / circular / artificial or manipulative in nature.
- Where any ban is imposed on the Client by the regulatory authorities be called for by NSL from time to time as per the regulatory requirement or as per its internal policy.
- In the event of death, insanity or insolvency of the Client or if the Client has become incapable of receiving and paying for or receiving and transferring securities which the client has ordered to be bought/sold.
- Where the name of the Client apparently resembles with the name in the list of debarred entities published by SEBI/Exchanges and the information available is not sufficient enough to established that the Client and the debarred entities are separate entities.
- h) Temporarily suspending or closing a Client's account at the client's request:

A Client who wishes to temporarily suspend or close his/her/its account can do so by submitting a specific request. Prior to submission of such request the Client should ensure that all amounts due and payable to NSL are paid.

If the Client wants to reactivate the account a written request along with documentary evidences (as may) be specified by NSL from time to time) should be submitted.

Request from the Clients for suspension/closing/reactivation will be

processed with seven days.

i) Deregistering a Client.

Not with standing anything to the contrary stated in the agreement, NSL shall be entitled to terminate the agreement with immediate effect in any of the following circumstances:

- Where the Client indulges in any irregular trading activities like synchronized trading, price manipulation, trading in illiquid securities/options/contracts, self trade, trading in securities at prices significantly away from market prices, etc.
- Any inquiry/investigation/regulatory action taken/initiated against the Client by the Exchanges/regulators including but not limited to debarring the Client from accessing the capital market.
- Where the Client's name apparently resembles with the name appearing in the list of debarred entities published by SEBI/Exchanges (where no information other than name is available); Upon receipt of information about death/lunacy or other disability of the Client;
- If the Client has voluntarily/compulsorily become the subject of the
 proceedings under the bankruptcy or involvency law or being a
 company, goes into liquidation or has a receiver appointed in respect
 of its assets or refers itself to the board for Industrial and financial
 Reconstruction or under any other law providing protection as a relief
 undertaking.
- If the Client being a partnership firm, any steps has taken by the client and/or its partner for dissolution of the partnership,
- If the name of the Client appears in the database/website of the CIBIL, Watch Out Investors, World Check etc;
- The client is having suspicious background or links with the suspicious organization/where the client is non traceable/has pending disputes with NSL or there is a possibility of a default by the client;
- Any other circumstances leading to a breach of confidence in the Client for reasons like return of undelivered couriers citing reasons of, no such person/addressee left/refusal to accept mails, etc", continuous cheque bouncing, or not furnishing the financial or other details as may be called for by NSL from time to time, etc.
- Such other circumstances which in the opinion of NSL warrants deregistering the Client.

Policy for Inactive Clients.

If any client who has not traded in any segment or on any of the Exchange with NSL for last 6 months then such Client would be termed as a Dormant/Inactive Client. The broking account of such Client may be deactivated/suspended temporarily by NSL. If the Client wants to re-activate the broking account then a request for reactivating the broking account should be sent in writing along with such documentary evidence as may be specified by NSL from time to time.

Changes in Internal Policies and Procedures:

NSL reserves right to amend/change/revise the internal policies and procedures, as may be required from time to time and the same shall be binding on the Client forthwith. Such changes will be made available on NSL's website:

Client's Acceptance of Policies and Procedures stated hereinabove:

I/We have fully understood the policies and procedures stated hereinabove and do hereby agree to abide by the same and agree not to call into question the validity, enforceability and applicability of an provisions/clauses in this document under any circumstance whatsoever.



AUTHORITY LETTER FOR RUNNING ACCOUNT OF FUNDS AND SECURITIES

To NAKAMICHI SECURITIES LIMITED 27B, Camac Street

D D M M Y Y Y

27B, Camac Street 4th Floor, Kolkata - 700 016

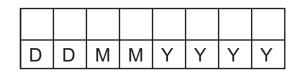
Dear Sir,

- 1. With reference to my/our trading account opened with you, I/we request you to maintain a running account for funds and securities on my/our behalf without settling the account on settlement of each transaction. I/We further request you to retain all amounts and securities receivable by me/us until specifically requested by me/us to be settled within one working day or request, if the same is lying with Nakamichi Securities Limited & within 3 working day from the request if the same is lying with clearing member/clearing corporation.
- 2. I/we understand and agreed that no interest will be payable to me/us on the amounts or securities so retained with you.
- 3. I/we may be trading in derivatives segment & cash segment of various exchanges and hence various accounts with you. In this regard I/we hereby authorize **Nakamichi Securities Limited** to act at its discretion of adjusting any credit balance under my/our various accounts against the debit in the account across segments/Exchange, without taking any further instruction from me/us.
- 4. I/We also confirm that the securities lying in my withhold back A/c should be considered as margin deposit / collateral.
- 5. I/we authorize you to set off a part or whole of the margin deposited by me/us against any of my/our dues, by appropriating relevant amount of fund or by sale of securities which form part of margin.
- 6. I/we hereby authorize you to pledge my/our securities deposited as margin or withheld by you as, permitted in the running account and to deposit my / our funds deposited as margin to Exchanges/Clearing Corporations towards margin.
- 7. I/We may revoke the authorisation at any time by giving a written notice.
- 8. I/we also agree that the actual settlement of fund and securities shall be done by us, at least once in the quarter or month and the statement of account for the same will be provided to me by **Nakamichi Securities Limited** Such periodic settlement of running account may not be necessary:
 - i. for clients availing margin trading facility as per SEBI circulars.
 - ii. for funds received from the clients towards collaterals/margin in the form of bank guarantee (BG)/Fixed Deposit receipts (FDR)
- 9. If outstanding obligations/positions exists on the running account settlement date, I/we agree that
 - a) In respect of derivatives market transactions, the Member may, apart from margin liability as on the settlement date retain additional margins (upto 125% of margin liability on the date of settlement) to take care of any margin obligation arising in next 5 days or as permitted by the Exchange from time to time.
 - b) In respect of cash market transactions the Trading Member may retain entire pay-in-obligation of funds & securities due from me/us as on the date of settlement and for next day's business, the Trading Member may retain funds/Securities/margin to the extent of value of transactions executed on the day of such settlement.
- 10. I/we shall bring any dispute arising from the statement of account or settlement so made to the notice of Nakamichi Securities Limited within 30 working days from the date of receipt of statement.
- I/we agree that there shall be no inter client adjustment for the purpose of settlement of the running account.

PREFERENCE OF CLIENT FOR	SETTLEMENT OF	RUNNING ACCOUNT (FUND	S & SECURITIES)
Settlement Preference	Monthly	Quarterly [
Thanking you,			
Yours truly,			
√			
Signature of the Client			VOLUNTARY

AUTHORITY TO DEBIT DEMAT CHARGES VOLUNTARY To NAKAMICHI SECURITIES LIMITED Υ Υ Υ D D M M Y 27B. Camac Street 4th Floor, Kolkata - 700 016 Dear Sir, I/We hereby authorise you to debit the trading account maintained with NSL for the demat charges payable to NSL as Depository Participant for providing depository services. Any such sum debited to my / our account shall be binding on me/us. CLIENT CODE SIGNATURE OF THE CLIENT **ACKNOWLEDGEMENT RECEIPT VOLUNTARY** To NAKAMICHI SECURITIES LIMITED Y D D M M 27B. Camac Street 4th Floor, Kolkata - 700 016 Dear Sir, Sub: Acknowledgment for receipt of Account Opening Form, Rights & Obligations, Risk Disclosure Document, Policy and Procedures, Tariff Sheet specifying various charges and other documents executed. This has reference to my / our aforesaid trading account with you. In this connection I/we hereby acknowledge the receipt of copy of Account Opening Form, Rights & Obligations, Risk Disclosure Document, Policy and Procedures, Tariff Sheet Specifying various charges and other documents executed by me / us in favour of the Company, it also includes in index page listing all documents required for registration, indicating briefly significance of each document. Further, I/we have also agreed and understood all the mandatory and voluntary clauses of the aforesaid agreements. Email ID noted with you (for ECN and other purposes) is correct. Also I/we have been informed that I/we can inform my/our grievance & complaint to **Nakamichi Securities** Limited on their Email ID: investorcell@nakamichi.co.in CLIENT CODE SIGNATURE OF THE CLIENT

To NAKAMICHI SECURITIES LIMITED 27B, Camac Street 4th Floor, Kolkata - 700 016



Dear Sir,

Re.: Electronic Contract Note (ECN)

This has reference to the facility of receiving Contract notes, Daily Margin Statement, Quarterly Statement and other documents in Electronic form issued by you in compliance with regulations and guidelines issued by SEBI and Stock Exchanges. I/We understand that ECN are valid legal contract notes as per Stock Exchange / SEBI Rules and recognized under Income Tax Act, as well as other Acts in India

Therefore, the ENC shall be an accepted form of Contract Note to me / us and should be mailed to the following e-mail id(s)

E-mail Id (a)		√
	(Primary - compulsory)	Signature of Client
<i>(</i> 1.)		\checkmark
(b)	(Alternate - Optional)	Signature of Client

I/We wish to inform you that I/We would like to avail of this facility. In this regard we state the following

- 1. This is to further confirm that it will be my/our responsibility that my/our email account is active and mail box is not full and the non receipt of the bounced main notification at your end shall amount to delivery of the ECN at my / our email-ld.
- 2. I/we undertake to check the ECNs and discrepancy if any shall be brought to to your notice within 24 hours of the issuance of contract notes.
- 3. You are authorized to send me/us account statement/other documents/other notices/correspondence periodically through the same channel.
- 4. I/We undertake that any change in my/our Email ID shall be communicated to to you in writing through a physical letter.

Kindly take the above into your record and oblige. Thanking you, Yours truly.

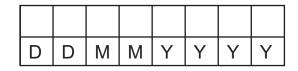
√	
Signature of Client	_
Name	

Note: Our Website name is www.nakamich.co.in You will be provided with User ID separately to check all your contract notes uploaded one a secured platform in the above mentioned website. You are also requested to change your User ID Password once it is intimated to you via secured platfrom

VOLUNTARY

CONSENT LETTER FOR EMAIL AND MOBILE ALERT FACILITIES

To NAKAMICHI SECURITIES LIMITED 27B, Camac Street 4th Floor, Kolkata - 700 016



Dear Sir,

This is with reference to my/our trading account opened with you: I / We request you arrange facility of receiving email and/or mobile alert facility issued by Exchange in compliance with regulation and guidelines issued by authorities from time to time

Email Facility	Service Required - YES	NO 🗌
Email ID		
Owned by - Name		
- PAN Number		
Relationship with Client		
Signature of the Client	✓	
SMS Facility	Service Required - YES	NO 🗌
Mobile Number		
Owned by - Name		
- PAN Number		
Relationship with Client		
Signature of the Client	1	

In this regards we state the following

- 1. This is to further confirm that it will be my / our responsibility that my/our Email. ID and/or Mobile Number are active and the relevant Inbox is not full. Further, the trading member will not be held liable for the mails and/or SMS that are not received.
- 2. I/We undertake that any change in my / our Email. ID and/or Mobile Number shall be communicated to you in writing through a physical letter.
- 3. I/We agree that this authority shall be valid, until it is revoked by me/us at any time by giving a written notice to Nakamichi Securities Ltd.

Signature:	

^{*} Please specify the Name and PAN detail in case email id and / or Mobile Number is other than that of the client.

FACTA/CRS DECLARATION FORM Applicant Name PART - Please fill in the country for each of the following 1. Country of b) Citizenship a) Birth c) Residence for Tax Purposes 2. US Person*: Yes No PART II - Please note: If in all fields above, the country mentioned by you is India and if you do not have US person status, please a) proceed to Part III for signature If for any of the above field, the country mentioned by you is not India and/or if your US person status is Yes, b) please provide the Tax Payer Identification Number (TIN) or functional equivalent** as issued in the specific country in the table below: TIN _____ Country of Issue _____ i) TIN _____ Country of Issue _____ ii) Country of Issue iii) In case any of the parameters in Part I indicate that you are a US person or a person resident outside of India a. for tax purpose and you do not have Taxpayer Identification Numbers, functional equivalent, please complete and sign the Self-certificate section given in Part IV. In case you are declaring US person status as 'No' but your Country of Birth is US, please provide documents b. evidencing Relinquishment of Citizenship. If not available provide reasons for not having relinquishment certificate _____ Please also fill Part IV Self-Certificate **PART III -** Customer Declaration (Applicable for all customers) Under Penalty of perjury, I/we certify that: (i) The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S. (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the account holder is identified as a US person) 2. The applicant is an applicant taxable as a tax resident under the laws of country outside India. (This clause applicable only if the account holder is a tax resident outside of India) I/We understand that Nakamichi Securities Limited is relying on this information for the purpose of (ii) determining the status of the applicant named above in compliance with FATCA/CRS. Nakamichi Securities Limited is not able to offer any tax advice on CRS or FATCA or its impact on the applicant. I/We shall seek advice from professional tax advisor for any tax questions. I/We agree to submit a new form within 30 days if any information or certification on this form becomes (iii) incorrect. I/We agree that as may be required by domestic regulators/tax authorities Nakamichi Securities Limited may (iv) also be required to report, reportable details to CBDT or close or suspend my account.

I/We certify that I/We provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant.

(v)

Signature :_

Name :-

C5

Date (DD/MM/YYYY):

PART IV Self-Certification:

To be filled only if -

- (a) Name of the country in Part I is other than India and TIN or functional equivalent is not available, or
- (b) Us person is mentioned as Yes in Part I, and TIN is not available

In confirm that I am neither a US person nor a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and residency in India.

. /
v

Signature

Document Proof submitted (Please tick document being submitted)

Passport ☐ Election Id Card ☐ PAN Card ☐ Driving License ☐ UIDAI letter☐ NREGA Job Card ☐ Gvt. Issued ID Card

- * U.S. Person means.
- (a) an individual, being a citizen or resident of the United States of America;
- (b) a partnership or Corporation organized in the United States of America or under the laws of the United States of America or any State thereof
- (c) a trust if, -
 - (i) a court within the United States of America would have authority under applicable law to render orders or judgements concerning substantially all issues regarding administration of the trust and
 - (ii) one or more U.S. person have the authority to control all substantial decision of the trust or :
- (d) an estate of a decedent who was a citizen or resident of the United State of America.

**Functional Equivalent of TIN

Many countries do not issue TIN to their taxapayers. However, such countries issue some other high integrity number with an equivalent level of identification (a functional equivalent), Examples of such numbers are -

- Social Security Number
- National Insurance Number
- Citizen or Personal Identification Code or Number
- Resident Registration Number

f Business / Profession : Nature of bus	3111033					
Industry :						
Details of my / our Relatives, having ac	ccount with Na	kamich Secu	urities Ltd.			
Name			Relation	ship	U	CC (Client Code)
1.				-		
2.						
3.						
4.						
Details of the Corporate / Partnership F	Firm / Trust etc	c. where I/we	am/are affiliated	d		
Name	Entity Type	Nature c	of Business	Relatio	nship	UCC (Client Code)
1.						
2.						
3.						1
4. We hereby submit and agree to submi						
 Profit and Loss Account & Capital A Balance Sheet Self attested copy of Income Tax R Copy of Form 16 in case of Salary 	Return (If returr	n not available	e, I/we will furni:	sh Form 16)	
We hereby declare that I/we am / are ltd., and that I/we am/are investing my/	ncial details of inform Nakam me / us by any et with : fy below Sourcurces of Borrov beneficial own	ichi Securities authority (ies Own Fu es of funds) ved Funds (if	any) ling / On-line ac	ve am / are Borrowed ccount open	convicted	Amount ()
We confirm that I/we will immediately incounds of any action is taken against rounds of any action is taken against rounds in the stock market in Borrowed Funds, then please specifications. South	ncial details of inform Nakam me / us by any et with : fy below Sourcurces of Borrov beneficial own	ichi Securities authority (ies Own Fu ees of funds) ved Funds (if er of the Trad s with Nakam	any) ling / On-line ac	ve am / are Borrowed ccount open	convicted	Amount ()
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PART II - DEMAT ACCOUNT OPENING FORM (FOR INDIVIDUALS)



NAKAMICHI SECURITIES LIMITED

DP ID: IN304004 SEBI REGN No.: IN-DP-315-2017

CLIENT ID																		Da	te	D	D	М		М	Υ	Υ	Υ	Υ
(To be filled	by F	Parti	cipant)			·																					
I/We reques	t yo	u to	open	a de	pos	sito	ry acc	co	unt in my/o	our	nar	ne a	s p	er th	ne fo	llo	win	g de	tail	3								
(Please fill a	ıll th	e de	tails ir	CA	PIT	AL	LET	TE	RS only)																			
A) DETAILS	OF A	ссо	инт Н	OLDE	ER(S	s)																						
Account Hol	lder(s)																										
Sole / First I	Hold	er N	lame																									
Second Hold	der I	Nam	ie																									
Third Holder	r Na	me																										
			Sole	/ Fi	rst	Нс	older	N	ame			Sec	ond	d Ho	olde	r N	am	е			Th	ird	Н	olde	er N	lam	е	
PAN															T													
Occupation (P	lease		☐ Pr	ivate	e Se	ecto	or					Priva	ate	Sec	tor					[□ F	Priva	ate	e Se	cto	r		
tick any one ar	nd		☐ Ag	ricu	Ituri	ist						Agri	cult	urist	t					1		Agric	cu	lturi	st			
give brief detai	ils)		☐ Pu	blic	Sed	cto	r_ F	Re	tired			Publ	ic S	Sect	or⊑] R	etii	ed		[F	Publ	ic	Sec	ctor		Ret	ired
			☐ G	veri	nme	ent	Serv	ice	e			Gov	erni	men	t Se	rvi	се			1		Sove	erı	nme	nt :	Ser	vice	
			□н	use	wife	e \square	Bus	sin	ess			Hou	sew	vife [□В	lusi	ine	ss] [⊒ ⊦	lous	se	wife]Bu	sine	ess
			☐ St	uder	nt⊑] F	Profes	ssi	onal			Stud	lent		Prof	ess	sior	nal] [Stud	er	nt⊑] Pi	rofe	ssic	nal
				hers	(F	Plea	ase s _l	ре	cify)			Othe	ers	(Ple	ease	sp	eci	fy)] [Othe	ers	(P	lea	se s	spec	ify)
Brief details		\dashv								Ť										\dagger								
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Name																P	AN											
C) Type of a	ccoı	unt	□Qι		ed F				estor		For	I-Re eign ers	Na	ition	al	ecif	fy)]NR]Pro	I-No		Re	patr	iab	le		
D) Gross A	nnu	al In	ncome	Det	tails	s -	Incon	ne	Range pe	er a	annu	ım (p	olea	ase t	ick a	any	or	ie)										
Below 1 La	ас 🗆	Ве	low 1-	5 La	ac [] 5	5-10 L	a	10-25	La	с [] М	ore	tha	n 25	La	acs											
E) In Case of	of NI	RIs /	' Forei	gn N	latio	ona	als																					
RBI Approva	al Re	efere	ence N	о.										RB	ΙАр	pro	val	dat	е	D	D	М		М	Υ	Υ	Υ	Υ

F) BANK DETAILS	
Bank A/c Type	Savings Account Current Account Others (Please specify)
Bank A/c. No.	
Bank Name	
Branch Address	
City/town/village	Pin Code
State	Country
MICR Code	IFSC
G) Please tick, if app	plicable ☐ Politically Exposed Person (PEP) ☐ Related to Politically Exposed Person (RPEP)
H) Standing Instruct	tions :
I/We authorise you to	receive credit automatically into my/our account
Account to be operate	ed through Power of Attorney (PoA)
SMS Alert facility: [N provided in the Kyc A	Mandatory if you are giving Power of Attorney (PoA). Ensure that the mobile numbers is Application form]
Sole / First Holder	Yes ☐ No Second Holder ☐ Yes No☐ Third Holder ☐ Yes ☐ No
Mode of receiving the Participant (Tick any or	estandard document- Right and Obligations of Beneficial Owner and Depository one)
Mode of receiving sta	atement of A/c (Tick any one)
	(Read note 4 insure that e-mail ID is provided in KYC application form)
I Wish to avail BSDA	☐ Yes No ☐
our account without a	truct the DP to accept all the pledge instruction of my/ ny other further instruction from my / our end fault option would be 'No') Yes No
	are the e-mail ID with the RTA
Option to received an	nual report, AGM Notice & other communication from issure in Physical Form Electronic Form
Ensure that email ID i	is provided in KYC Application Form)
(To be filled by persons see given Power of Attorney to	k any one) S booklet alongwith account opening eking to open a depository account who have o operate the depository account to a stock Manager and do not intend to open a Basic I/We do not wish to receive the DIS booklet with account opening. However, the DIS booklet should be issued to me/us on my/our request at later date. I/We wish to receive the Delivery Instruction Slip (DIS) booklet with account opening
,	Where sole holder is a minor): [For account of a minor, two KYC a Application Forms must the guardian and another for the minor (to be signed by guardian
Guardian Name	PAN
Relationship of guard	lian with minor
☐ I/We wish to mak Details are provid	



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Nomir	natior	n Deta	ails																																
I/we wish to cancel the nomination and do hereby Depository by me/us in the said beneficiary owner																					sha	ll red	cei	e a	all	sec	uriti	es h	eld i	n th	e				
SI. No.	Non		on ca						ree	•	Det	ails	of 1	1s	t No	m	nine	e 	1	De	tails	s c	of 2n	d No	om	ine	е		Deta	ails	of 3	rd N	lor	nin	ee
1.	Nan	ne of	the no	omin	ees	s(s)	(Mr.	./[\	/ls.)																										
2.		re of ninee	each	[ot e	equa										%									%								%	
							spe tage		fy		Any	oda	l lot	afi	ter d	ivi	ision	sl	hall	be	tra	ns	ferre	d to	the	firs	st r	nom	nee	me	ntion	ed i	n tl	ne f	orm
3.	Rela (If a		ship w	ith th	ne a	ipp	lican	ıt																											
4.	Add	ress	of Nor	mine	e(s))																													
					PIN	1 C	ODE					Г							+		Γ							+							
5.	Mob	ile/Pl	hone	no. c	of no	omi	inee	(s)										T																
6.			of no					<u>`</u>	<u> </u>										T									Ť							
7.	7. Nominee identification details [Please tick any one of following and provide details of same]				Photograph of nominee								Photograph of nominee								Pho	otogr	aph	of no	omi	nee									
		adhaa	raph & ar 🔲 \$ f Identit	Saving	д Ва	nk A	Accou	nt					iture ss ph							(Signature of nominee across photograph)									(Signature of nominee across photograph)						
Sr. Nos	s. 8-14	should	d be fille	ed onl	y if n	omi	nee(s) i:	s a m	ninor																									
8.	Date	of Bir	th (in c	ase o	f mii	nor	nomii	ne	e(s)																										
9.			Guar ninor					in	ca	se																									
10.	Add	ress	of Gu	ardia	an(s	s)																													
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11.			eleph																									\perp							
12.			D of G							ee	_								+									+							
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14.	14. Guardian Identification details (Please tick any one of following and provide details of same] ☐ Photograph & Signature ☐ PAN ☐ Aadhaar ☐ Saving Bank Account No.																																		
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NOTES:

- All communication shall be sent at the address of the Sole/First Holder only.
- Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of 2. the constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- 3. Instructions related to nomination, are as below.
 - The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf signly or jointly, non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Udivided Family, holder of power of attorney cannot nominate. If the accounts is held jointly all joint holders will sign the nomination.
 - II. A minor can be nominated, in that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
 - III. The nominee shall not be trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a power of attorney holder. A non resident Indian can be a nominee, subject to the exchange control in force, from time to time.
 - IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account, similarly the nomination in respect of the securities shall stand terminated upon transfer of the securities.
 - V. Transfer of securities in favour of a Nominee shall be valid discharge by the depository and the Participant against the legal
 - VI. The cancellation of nomination can be made by individuals including society, trust body corporate, partnership firm, Karta of Hindu Undivided family holder of power of attorney cannot cancel the nomination. If the beneficiary owner accounts is held jointly, all joint holders will sign the cancellation form.
 - VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.
- 4. For receiving Statement of Account in electronic form:
 - Client must ensure the confidentiality of the password of the email account.
 - II. Client must promptly inform the Participant if the email address has changed,
 - Client may opt to terminate this facility by giving 10 days prior notice, Similarly, Participant may also terminate this facility by giving 10 days prior notice.
- 5. Strike off whichever is not applicable

OPTION TO RECEIVE ANNUAL REPORT, AGM NOTICE AND OTHER COMMUNICATION FROM ISSUER IN PHYSICAL FORM

DECLARATION

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we agreed to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it. In case nonresident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of document, "Rights and Obligations of the Beneficial Owner and Depository Participant

	Name(s) holder(s)	Signature(s) of holder
Sole / First Holder / Guardian (in case sole holder is minor) (Mr. Mrs.)		✓
Second Holder (Mr./Ms.)		1
Third Holder (Mr./Ms.)		1

MANDATE LETTER FOR HUF (TO BE SIGNED ONLY BY THE KARTA)

To Nakamichi Securities Limited	
27B, Camac Street	
4th Floor, Kolkata - 700 016	
Dear Sir,	(Name of Karta) opening HUF Demat Account with NAKAMICHI
and submitted to Bank Account in the name of HUF and vi	and and declare that any cheque drawn in favour of "Karta" can also be collected ice-versa, enabling me to receive fund orders/demand drafts / dividends / interest nd NSDL Circular No. NSDL/POLICY/2007/0064 dated October 19, 2007 stating
Thanking you	
Yours sincerely	(Signature of Karta with HUF Stamp)

TO ALL TO WHOM THESE PRESENTS SHALL COME, I/ We	residing at /having
place of business/registered office at	
send greetings.	
WHEREAS I / We wish to avail, /I/We am/are a client availing of the stock Broking and Deposite referred to as said Services) offered by Nakamichi Securities Limited, (DP ID In304004) having its R at Mercantile Building, 9/12 Lal Bazar Street, Block-A, 1st Floor, Kolkata – 700001 (herein after referred)	egistered office address
NOW THESE PRESENT WITNESS that I /We, do hereby, jointly and severally nominate NSL acting through any of its directors, officers and /or agents, as my/ our true and lawful Constitut referred to as the said Attorney) for me/ us, in my/our name and on my / our behalf and at my/our risk and perform all or any of the following acts, deeds and things:	ted Attorney (hereinafte and cost to do, exercise
For the purpose of this POA, the term "Securities" shall mean and include all those units/ instrument of SC(R)A, 1956 and any amendments thereto from time to time.	s as provided in sec 2(n
1. To give delivery /receive instructions, pledge instructions, pledge closure instructions in cours operation of demat account(s) no (s) with Depository Participants opened with be communicated in writing towards the Obligation for transactions done through on NSE, BSE a under my/ our instructions.	NSL with NSDL , as may
2. To debit my/ our ledger account maintained with NSL for fees/ charges etc. and to discharge relating to transaction undertaken by me on any of the Stock Exchanges.	all financial obligations
3. Pursuant to my/ our instruction or instruction of my /our Authorised Representative to do all successary, I/ We authorised NSL to enable us to apply/ subscribe/ renounce/sign renunciation for issues of shares, securities, stock, bonds, debentures right shares, additional shares, mutual funds investment scheme or any securities or purchase/sale/redemption, investment, tende offer/buyback/delisting or any other like issues and/ or investments Product for which services are as 4. To pledge securities with Exchange(s) Clearing House/ Clearing Corporation/ Clearing Member/	ms for any offer of public s units, units of collective ering shares in oper vailed from NSL.
of meeting margin requirements.	TTOE for infinition purpose
5. Instruct DP to debit securities to the said account and /or transfer securities from the said account execute the share transfer requests given by me/us in electronic form through NSL website to pool extent of shares sold through NSL for pay-in obligation as well as for margins/ upfront margin/settlen any Stock Exchange in all segments.	/collateral/ margin to the
6. To authorise NSL to send trade and accounts related information and also consolidated summa position taken with average rates by way of SMS/ email on regular basis.	ary of scrip-wise buy/sel
7. For the purpose aforesaid to sign contracts, agreements, transfer, acceptance, receipts, or other	instruments, documents
and forms, to accept and carry out correspondence with such person(s) or authority /authorities or all such lawful acts requisite for effecting the same. To do or commit to do all such acts and things desirable in order to exercise its powers hereinabove or to comply with any laws, orders, rules, regany governments or regulatory or other authorities.	as may be necessary o
8. In case of mutual funds unit wrongly / erroneously subscribed and delivered in my /our accou automatically transfer the units in their pool/beneficiary/other account without consent.	nt, NSL is authorised to
9. The attorney hereby agrees to return/reverse to/from me/ us the securities or the funds that may	have been erroneously
debited/credited from / to my DP account that NSL/I was not entitled to. NSL shall agree the institution through any modes of communication and shall not be questioned by me/us and shall be conclusive	
10. My/our attorney NSL shall not be liable for any loss that may result from failure/inability of electronic of my/our application for any reason whatsoever. I/We do hereby confirm and declare that this Power of Attornand operative and shall remain in force till the same is revoked by me/us. Further, I/We hereby confirm that affect my/our obligations outstanding at the time such revocation and the authority granted hereund	ney shall be valid, effective t such revocation shall no er shall continue until al
transactions and instruction already executed or issued in pursuance of this Power of Attorney and all outstates. Securities Limited have been settled and generally my said attorney shall have the power to do all such act behalf and I could have lawfully done, if personally present and I do hereby for myself, my heir, executors, a and legal representatives ratify and confirm and agree to ratify and by attorney confirm all and whatsoev substitute or substitutes shall lawfully do or cause to be done by virtue of these presents made at Kolk	s, deeds and things on my dministrators of acts done er my said attorney or his

IN WITNESS WHI the year herein ab	EREOF I/W									
are year nerenran		e have hereunto set and sub	scribed my/our re	spective hands to	these preser	nts that day and				
The Demat accoun	nt details of	NSL where shares can be tra	ansferred for above	e purpose is ment	ioned below:					
A	ccount Nur	nber		DP ID						
10	0000359 (C	CM BP ID: IN566704)		IN304004 (NS	SDL)					
10	0000367 (C	CM BP ID: IN656552)		IN304004 (NS	IN304004 (NSDL)					
10	0000158		IN304004 (NSDL)							
10	0000166			IN304004 (NSDL)						
1:	1201780000002986			12017800 (CDSL)						
1:	1201780000008517			12017800 (CI	OSL)					
*Required Co-p	oarcener's	details in case of HUF acc	count.	•						
Co-parcener's Name Sign of Co-parcener		Co-parce	ner's Name	Sign of Co	p-parcener					
1.	1.		3.	Sign of Co-parcener						
			4.							

Sign of and behalf of	1. Holder	2. Holder	3. Holder	The participant
Signature				For Nakamichi Securities Ltd.
				Attorney
Name of Authorised Signatory				
Title/Designation				
Witness 1	Name	Address :		
			Signature of witness	
Witness 2	Name	Address :		
			Signature of witness	

A. RIGHTS AND OBLIGATIONS OF BENEFICIA OWNER AND DEPOSITORY PARTICIPANT AS PRESCRIBED BY SEBI AND DEPOSITORIES

General Clause

- 1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
- 2. The DP shall open/activate demat account of the beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner Information

- 3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
- 4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff.

- 5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that no charges are payable for opening of demat accounts"
- 6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circualars/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial owner shall have the right to get the securities, which have been admitted on the depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

- 9. The DP shall open separate accounts in the same of each of the beneficial owners and securities of each beneficial Owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
- 10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and/or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

- 11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial owner and the DP shall maintain the original documents and the audit trail of such authorizations.
- 12. The Beneficial Owner reserves the right to give standing instruction with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.
- 13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/Depository in this regard.
- 14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
- 15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under the its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode then the Participant shall be obliged to forward the statement of the demat accounts in physical form.
- 16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by the SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no

- charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
- 18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by he depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges.

- 19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demat, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
- 20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clauses 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

- 21. As per Section 16 of Depositories Act, 1996.
 - 1. Without prejudice to the provisions of any other law for the time being in force, any loss claim, (Name of Karta) opening HUF Demat Account with the "Karta" can also be collected and submitted to Bank and NDSL Circular No. NSDL/POLICY/2007-0064 dated October 19, 2007 stating the caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial Owner.
 - 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified be he depository, the depository shall have the right to recover the same from such participant.

Freezing/Defreezing of accounts.

- 22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
- 23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative.

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

- 26. In addition to the specific rights set out in this document, the DP and the Beneficial Owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
- 27. The provisions of this documents shall always be subject to Government notification, any rules, regulations, guidelines and circulars/notice issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/her account, that may be in force from tome to time..
- 28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Byelaws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
- 29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meaning as assigned thereto in the Rules, Bye-Laws and Regulations and circular/notice issued there under by the depository and or SEBI.
- 30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
- 31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and Regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, which the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modifications of the rights and obligations of the parties mentioned in this documents



NAKAMICHI SECURITIES LIMITED

DP ID: N3040004# SEBI REGN No.: IN-DP-NSDL-315-2017

SCHEDULE - A

Facilian	Fee				
Fee Head	Regular Account	Basic Services Demat Account			
A/c Opening Charges	NIL	NIL			
A/c. Maintenance Charges*	For Ind Rs. 300 P.A. For Non - Ind. Rs. 1000 P.A	Quarterly Asset Holding Rs. 0 to 50,000- Nil Rs. 50,001 to Rs. 2 lacs - Rs. 25 P.A.			
Sale (Market & Off Market)	Rs. 15 per ISIN				
Dematerialization Charges & Postage	Rs. 5 per Certificate & Rs. 50 per request	Rs. 10 per Certificate & Rs. 100 per request			
Rematrialization Charges	A fee of Rs. 10 for every 100 securities or part thereof, or a flat fee of Rs. 10 per Certificate whichever is higher puls Rs. 50 postal charges extra	A fee of Rs. 10 for every 100 securities or part thereof. Or a flat fee of Rs. 10 per certificate, whichever is higher plus Rs. 50 postal charges extra			
Pledge (All Type)	0.015% of the value of shares (Per ISIN) Min. Rs. 25)	0.015% of the value of shares (per ISIN) Min Rs. 25)			
Instruction Failure	Rs. 15	Rs. 20			
Demat Rejection	Rs. 20 per rejection plus Rs. 50 postal charges extra	Rs. 20 per rejection plus Rs. 50 postal charges extra			

- * Maintenance charges payable at the time of opening the Account for the first year and in April of every subsequent year. The value of Securities held in the BSDA shall not exceed Rs. 2 Lacs at any point of time if the value of holding exceed Rs. 2 Lacs the charges as applicable to Regular Demat Account will be levied.
- * Cheque Dishonour Charges Rs. 200
- * Delayed payment charges will be levied @24% p.a., if the payment is not received within next month from the date of bill.
- * Valuation of Securities will be as per price on day of transaction.
- * The Charges are subject to revision at the DP's sole discretion.
- * Additional Statement of Transactions will be charged at Rs. 20 per page per print.
- * Dispatch of Statement will be monthly / quarterly, as applicable.
- * GST will be charged as applicable
- * DIS issuance charges Rs. 100/- will be charges in case of lost or misplaced.
- * Charges quoted above are for the services listed above. Any services not quoted above will be charged separately.

Payment Details Cheque / DD No	Dt	Drawn onon
Rsin favo	our of NAKAMICHI SECURITIES LIMITED	
Signature of First Sole Holder	Signature of Second Holder	Signature of Third Holder
2.3	2.3	J.g. 1818. 5 01 111114 1101401

DECL	ARATION IN CASE OF SAME I. (Ple			erever applica		D FOR DIFF	ERENI CLIENIS
DP ID			Client ID			Date	
	ccount Holder (First Holder)		Onone ib			<u> </u>	
Mobile Nur	· · · · · · · · · · · · · · · · · · ·						
Email ID							
I hereby declare that the aforesaid mobile number or E-mail ID belongs to Me or My family (spouse, dependent children and dependent parents						spouse,	
Signature		√					
DP ID		1	Oli III D			D. (
	ccount Holder (Second Holder)		Client ID			Date	
Mobile Nun	` '						
Email ID	IIDEI						
I hereby de	clare that the aforesaid mobile no children and dependent parents	umb	er or E-mail	ID belongs to	Me or	My family (s	spouse,
Signature		√					
				i		1	·
DP ID			Client ID			Date	
	ccount Holder (Third Holder)						
Mobile Nur	mber						
Email ID							
I hereby de dependent	clare that the aforesaid mobile no children and dependent parents	umb	er or E-mail	ID belongs to	Me or	My family (s	spouse,
Signature		_					

From:									
		D	D	М	М	Υ	Υ	Υ	Υ
To NAKAMICHI SECURITIES LTD. DP ID: IN304004 27B, Camac Street, 4th Floor Kolkata - 700 016									
Ref. : Acknowledgeme	ent for the receipt	of doo	cumer	nts					
Dear Sir,									
This is to acknowledge the receipt of the follow	wing documents v	iz.							
- Copy of KYC Form									
- Copy of Power of Attorney									
- Charge Structure									
 Copy of the 'Rights and Obligations of Bene by SEBI and Depositories 	eficial Owner and I	Depo	sitory	Patcip	oant" a	as pre	scribe	ed	
- Client Master Report									
I/We hereby declare that I/We have the full in	timation of the Clie	ent ID	allote	ed to n	ne/us.				
Thanking you,									
Yours truly,									
✓ Signature of Client									
C									
Client Code									